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By: Loren D. Pearson

Date: February 25, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applic. No. : 09/363,277 Confirmation No: 7931  
Applicant : Kai Wurster et al.  
Filed : July 28, 1999  
Title : Trench Capacitor with an Insulation Collar and Method for Producing a Trench Capacitor  
Art Unit : 2812  
Examiner : Jennifer M. Kennedy  
Docket No. : GR 98 P 1801 C  
Customer No. : 24131

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. 1.97(i)**

Hon. Commissioner for Patents

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

United States Patent No. 5,363,327 (Henkles et al.), dated November 8, 1994;

United States Patent No. 5,656,535 (Ho et al.), dated August 12, 1997.

It is believed that the enclosed prior art is less pertinent than the prior art previously submitted and cited by the Examiner. Kindly place the references in the Patent Office file wrapper.

Respectfully submitted,

LOREN DONALD PEARSON  
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For Applicants

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Sheet 1 of 1

FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket No.: <b>GR 98 P 1801 C</b>	Applic. No. <b>09/363,277</b>
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))		Applicant <b>Kai Wurster et al.</b>	
		Filing Date <b>July 28, 1999</b>	Group Art Unit <b>2812</b>

### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
A	5,363,327	11/08/94	Henkles et al.			
B	5,656,535	08/12/97	Ho et al.			
C						
D						
E						
F						
G						
H						
I						

### FOREIGN PATENT DOCUMENT

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES   NO
J						
K						
L						
M						
N						

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

O	
P	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609;  
 Draw line through citation if not in conformance and not considered. Include copy of this form with  
 next communication to applicant.